

2834

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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number	09/943,201
Filing Date	08/30/2001
First Named Inventor	Gerald E. Tornquist, et al.
Group Art Unit	2834
Examiner Name	Not Yet Assigned

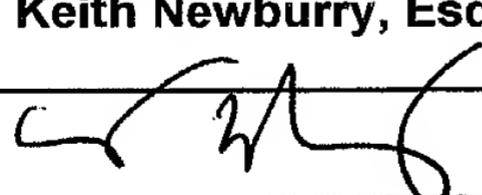
Attorney Docket Number

H0002285

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		Enclosed are:
		- Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. 1.97;
		- Form PTO-1449 IDS;
		- 9 References; and
		- Postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Keith Newbury, Esq., Reg. No. 38,980
Signature	
Date	1-15-03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	Keith Newbury, Esq.
Signature	
Date	1-15-03

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PATENT
H0002285

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gerald E. Tornquist, et al. :
Serial No.: 09/943,201 : Group Art Unit: 2834
Filed: August 30, 2001 :
Title: SYSTEM AND METHOD FOR END :
TURN RETENTION ON A HIGH :
SPEED GENERATOR ROTOR :
Examiner: Unknown

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TECHNOLOGY CENTER 2800

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This supplemental information disclosure statement is being filed before the mailing date of a first Office Action, therefore, no fee is believed due at this time.

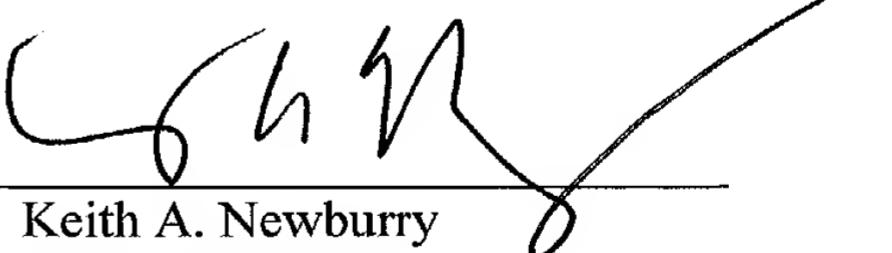
Alternatively, if a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge our deposit account the fee set forth in 37 C.F.R. § 1.17(p), and any additional fees which may be required to Deposit Account No. 01-1125.

It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

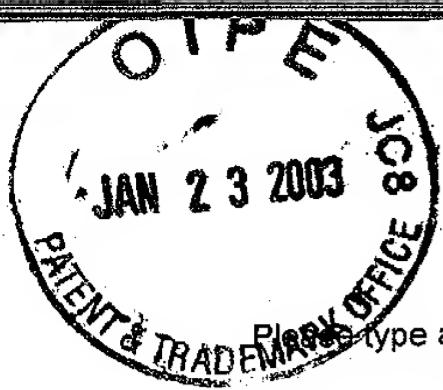
Respectfully submitted,

Dated: 1-15-03

By:


Keith A. Newbury
Reg. No. 38,980

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/943,201
				Filing Date	08/30/2001
				First Named Inventor	Gerald E. Tornquist, et al
				Group Art Unit	2834
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	H0002285

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	1		International Search Report PCT/US 02/28266			12-16-2002	
	2	JA	60035931		Kengo	02-23-1985	X
	3	JA	60046746		Tadahisa	03-13-1985	X
	4	JA	58165638		Toshio	09-30-1983	X
	5	JA	11299142		Nobuo	10-29-1999	X
	6	JA	56006636		Hirokazu	01-23-1981	X
	7	JA	60055846		Hiroshi	04-01-1985	X
	8	JA	55094549		Takashi	07-18-1980	X
	9	GR	DE 44 36 257 A1		Kohlhoff	04-04-1996	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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